



PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	2001P80073WOUS
First Inventor	Torsten Bellon
Title	Device for preventing an exhaust ...
Express Mail Label No.	

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 18]  
(preferred arrangement set forth below)
 

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 5 ]

5. Oath or Declaration [ Total Pages 3 ]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R In duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 

- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))  
37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)

10.  English Translation Document (if applicable)

11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

12.  Preliminary Amendment

13.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

14.  Certified Copy of Priority Document(s)  
(If foreign priority is claimed)

15.  Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

16.  Other: .....

17.  Other: .....

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation    Divisional    Continuation-in-part (CIP)

of prior application No.: PCT / DE02/01779

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	28204	or <input type="checkbox"/> Correspondence address below	
Name	Jacob Eisenberg, Customer Number 28204		
Address	Siemens Schweiz AG Albisriederstrasse 245		
City	Zuerich	State	Zip Code
Country	Switzerland	Telephone	Fax

Name (Print/Type)	Jacob Eisenberg	Registration No. (Attorney/Agent)	43,410
Signature			Date 11/21/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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U.S.PTO

PTO/SB/17 (10-03)

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 810)

## Complete If Known

Application Number	To be assigned
Filing Date	Herewith
First Named Inventor	Torsten Bellon
Examiner Name	To be assigned
Art Unit	To be assigned
Attorney Docket No.	2001PB0073WOLUS

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number

502464

Deposit Account Name

Siemens Schweiz

The Director is authorized to: (check all that apply).

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	770.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(\$ 770.00)	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims below	Fee from	Fee Paid
19	-20** = 0	x 18	= 0
Independent Claims 1	- 3** = 0	x 86	= 0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
1202 16	2202 9	Claims in excess of 20	
1201 66	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 66	2204 43	** Reissue independent claims over original patent	
1206 18	2206 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$ 0.00)	

\*For number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 850	2253 475	Extension for reply within third month	
1264 1,480	2264 740	Extension for reply within fourth month	
1265 2,010	2255 1,005	Extension for reply within fifth month	
1401 390	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,610	1451 1,610	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1607 50	1607 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	40.00
1809 770	2809 365	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 365	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 365	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination or a design application	
Other fee (specify)			
Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	(\$ 40.00)

(Complete if applicable)

Name (Print/Type)	Jacob Eisenberg	Registration No. (Attorney/Agent)	43,410	Telephone	+41585583295
Signature	Jacob Eisenberg		Date	11/21/2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-203a.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# SIEMENS

**United States Patent & Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop Application Number  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, Virginia USA 22202**

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Your reference	
Our reference	2001P80073WOUS/ERB
Date	November 21, 2003

**Customer No.: 28204**

**Re:** Utility Patent Application  
**Atty. Dock.:** 2001P80073WOUS; **Serial No.:** to be assigned  
**Filed:** to be assigned; **Inventor(s):** Torsten Bellon  
**For:** *Device for preventing an exhaust gas recirculation valve from sticking after switching off an internal combustion engine*

Sir:

In response to the FILING OF APPLICATION PAPERS, the following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. FeeTransmittal Form;
2. Utility Patent Application Transmittal;
3. Specification;
4. Claims;
5. Abstract;
6. Executed Declaration;
7. Executed Assignment;
8. Recordation Form Cover Sheet;
9. Drawings; And
10. Return Post Card.

It is respectfully requested that the attached post card be stamped with the filing date of these documents and returned to us via post. A duplicate of this letter is enclosed.

In the event that the transmittal form is separated from this document and the Patent Office determines that an extension of time and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of

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2001P80073WOUS

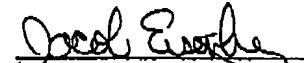
November 21, 2003, page 2

such petition and/or other fees in connection with the filing of this document to Deposit Account No.: 502464 referencing attorney docket number 2001P80073WOUS.

However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Date: 11/21/2003

Respectfully Submitted,



Jacob Eisenberg, Esq.  
Attorney for Applicant  
Registration No. 43,410  
Customer No.: 28204

Enclosures

**Siemens Switzerland Ltd**